



ST

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |                    |                        |           |
|--|--------------------|------------------------|-----------|
| Application No.                          | 10/664,258         |                        |           |
| Filing Date                              | September 17, 2003 |                        |           |
| First Named Inventor                     | Masami Urano       |                        |           |
| Art Unit                                 |                    |                        |           |
| Examiner Name                            |                    |                        |           |
| Total Number of Pages in This Submission | 7                  | Attorney Docket Number | 96790P441 |

### ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment / Response   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)  | <input type="checkbox"/> Petition to Convert a Provisional Application                  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input checked="" type="checkbox"/> Information Disclosure Statement  | <input type="checkbox"/> Request for Refund   | <input type="checkbox"/> return postcard  |
| <input checked="" type="checkbox"/> PTO/SB/08   | <input type="checkbox"/> CD, Number of CD(s)  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> Landscape Table on CD  |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA | Remarks   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53  |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

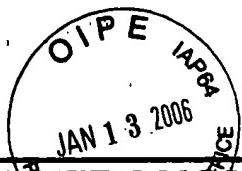
|                         |  |
|-------------------------|--|
| Firm or Individual name | Eric S. Hyman, Reg. No. 30,139<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature               |  |
| Date                    | 11/9/06  |

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|                       |               |      |        |
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| Typed or printed name | Melissa Stead |      |        |
| Signature             |               | Date | 1-9-06 |

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 11/30/2005.  
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# FEE TRANSMITTAL TOPPY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

180.00

#### Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/664,258         |
| Filing Date          | September 17, 2003 |
| First Named Inventor | Masami Urano       |
| Examiner Name        |                    |
| Art Unit             |                    |
| Attorney Docket No.  | 96790P441          |

#### METHOD OF PAYMENT (check all that apply)

- Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_
- Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee
- Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments
- under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

#### FEE CALCULATION

| Large Entity | Small Entity |
|--------------|--------------|
| Fee Code     | Fee Code     |
| Fee (\$)     | Fee (\$)     |

#### Fee Description

#### Fee Paid

|      |       |      |       |  |        |
|------|-------|------|-------|--|--------|
| 1051 | 130   | 2051 | 65    | Surcharge - late filing fee or oath                              |        |
| 1052 | 50    | 2052 | 25    | Surcharge - late provisional filing fee or cover sheet.          |        |
| 2053 | 130   | 2053 | 130   | Non-English specification  |        |
| 1251 | 120   | 2251 | 60    | Extension for reply within first month                           |        |
| 1252 | 450   | 2252 | 225   | Extension for reply within second month                          |        |
| 1253 | 1,020 | 2253 | 510   | Extension for reply within third month                           |        |
| 1254 | 1,590 | 2254 | 795   | Extension for reply within fourth month                          |        |
| 1255 | 2,160 | 2255 | 1,080 | Extension for reply within fifth month                           |        |
| 1401 | 500   | 2401 | 250   | Notice of Appeal   |        |
| 1402 | 500   | 2402 | 250   | Filing a brief in support of an appeal                           |        |
| 1403 | 1,000 | 2403 | 500   | Request for oral hearing   |        |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding                    |        |
| 1460 | 130   | 2460 | 130   | Petitions to the Commissioner                                    |        |
| 1807 | 50    | 1807 | 50    | Processing fee under 37 CFR 1.17(q)                              |        |
| 1806 | 180   | 1806 | 180   | Submission of Information Disclosure Stmt                        | 180.00 |
| 1809 | 790   | 1809 | 395   | Filing a submission after final rejection (37 CFR § 1.129(a))    |        |
| 1810 | 790   | 2810 | 395   | For each additional invention to be examined (37 CFR § 1.129(b)) |        |

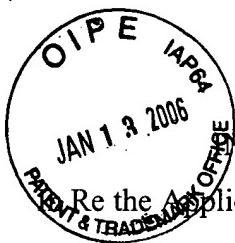
Other fee (specify) \_\_\_\_\_

SUBTOTAL (2) (\$)

180.00

#### Complete (if applicable)

|                   |               |                                      |        |           |                |
|-------------------|---------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Eric S. Hyman | Registration No.<br>(Attorney/Agent) | 30,139 | Telephone | (310) 207-3800 |
| Signature         |               |                                      |        | Date      | 11/9/06        |



Docket No.: 96790P441

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

MASAMI URANO, ET AL.

Application No.: 10/664,258

Filed: September 17, 2003

For: **SEMICONDUCTOR DEVICE HAVING MEMS**

Art Group:

Examiner:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted on or before payment of the Issue Fee. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

01/13/2006 CCHAU1 00000068 10664258

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180.00 OP

The references were cited in a Search Report dated November 15, 2005 (copy enclosed herewith) in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated November 15, 2005.

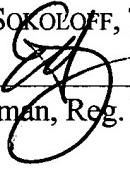
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith.

Respectfully submitted,

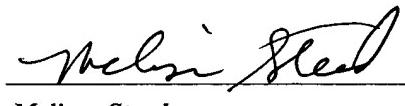
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 1/9/06

  
Eric S. Hyman, Reg. No. 30,139

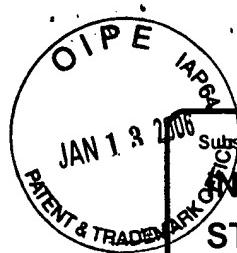
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Melissa Stead

1-9-06

Date



Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

1

of

1

| Complete if Known      |                    |
|------------------------|--------------------|
| Application Number     | 10/664,258         |
| Filing Date            | September 17, 2003 |
| First Named Inventor   | Masami Urano       |
| Art Unit               |                    |
| Examiner Name          |                    |
| Attorney Docket Number | 96790P441          |

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

|                       |  |                    |
|-----------------------|--|--------------------|
| Examiner<br>Signature |  | Date<br>Considered |
|-----------------------|--|--------------------|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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